**The information is an extract of Part II of the Risk Profiling Questionnaire in mobile-friendly version. No consideration has been given to any particular investment objectives or experience, financial situation or other needs of any customers. Customers must make their own assessment of the relevance and adequacy of the information herein. They should not only base on this information to make investment decisions. Customers should carefully consider whether any investments or types of investments mentioned herein are suitable for them in view of their own investment objectives, investment experience, financial situation, risk tolerance abilities and other needs etc., and consult independent professional advisers where necessary.**

**Please be aware that all investments involve risks (including the possibility of loss of the capital invested). The value of investment products may go up as well as down and may even become valueless. Past performance information presented is not indicative of future performance. Investors should read the relevant offering documents and risk disclosure statements of the relevant investment products (including the full text of the risk factors stated therein) in detail before making any investment decision.**

# QUESTION 1

**Would you like to set aside part of your net worth for investments? Please note that there is a potential for loss of your capital when investing in any investment products*.**

Yes

No

* Investment products include but not limited to the followings: stocks, investment funds, commodities (e.g. gold), structured investment products, bonds, warrants, options, futures, margin / leveraged trading , investment-linked insurance plans, and capital guaranteed certificate of deposits.

**[All Content for Reference Only]**

# QUESTION 2

**How much of your net worth (excluding real estate properties) would you like to set aside for investment in investment products?**

- ○ ≤10%
- ○ ≤20%
- ○ ≤30%
- ○ ≤40%
- ○ >40%

# QUESTION 3

**Which of the following is the maximum investment time horizon that you feel comfortable with?**

- 11 months or below
- 3 years or below
- 6 years or below
- 10 years or below
- Over 10 years

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Q3 and Q4 of this questionnaire intend to allow the Bank to understand more about your current investment objective.

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SAMPLE

# QUESTION 4

**Which of the following best describes your general attitude towards investment return and risks?**
**My general attitude is**

- ○ To protect my capital and to receive returns in line with bank deposits, and I can tolerate minimal capital loss.
- ○ To seek low to medium level of investment return and I can tolerate low to medium level of capital loss.
- ○ To seek medium level of investment return and I can tolerate medium level of capital loss.

To seek higher level of investment return and I can tolerate medium to high level of capital loss.

To maximize my investment return and I can tolerate high level of capital loss, including the possibility of losing more than the capital invested.

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Q3 and Q4 of this questionnaire intend to allow the Bank to understand more about your current investment objective.

## QUESTION 5

**Which of the following best reflects your expectation on investment return fluctuation (p.a.)?**

- ○ -6% to +6%
- ○ -10% to +10%
- ○ -18% to +18%
- ○ -27% to +27%
- ○ Exceeding all of the above

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The actual investment gains and losses may be higher or lower than the percentage stated

# QUESTION 6

**In a scenario that you consider selling your investment, which of the following best describes the degree of loss you will tolerate?**

- Up to 5% loss
- Up to 10% loss
- Up to 15% loss

- Up to 20% loss
- More than 20% loss

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The actual investment gains and losses may be higher or lower than the percentage stated

# QUESTION 7

**During the expected investment horizon, your investment depreciates significantly over a short period of time. What would you do?**

- ○ I will sell the investment immediately to prevent further losses.
- ○ I will sell a large part of the investment, and hold the remaining investment in the expectation of growth over the long term.
- ○ I will sell a small part of the investment, and hold the remaining investment in the expectation of growth over the long term.

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I will hold the investment in the expectation of growth over the long term.

I will adequately invest more to lower the average cost of my investment.

# QUESTION 8

**Do you have any planned liquidity needs in the next 12 months from the capital invested?**

- Yes, above 50% of the capital invested is very likely to be withdrawn.
- Yes, no more than 50% of the capital invested is very likely to be withdrawn.
- No

# QUESTION 9

**Under which of the following scenarios will you consider withdrawing from your investments?**

- Having an unexpected expense amounted to **3 months of my personal or household expenses**.

- Having an unexpected expense amounted to **6 months of my personal or household expenses**.

- Having an unexpected expense amounted to more than **6 months of my personal or household expenses**; OR, I will NOT consider withdrawing from Investments due to any unexpected expense.