

**To 致: HSBC Provident Fund Trustee (Hong Kong) Limited**

c/o The Hongkong and Shanghai Banking Corporation Limited 香港上海滙豐銀行有限公司  
PO Box 73770 Kowloon Central Post Office 九龍中央郵政信箱73770號  
or 或

Place into the MPF drop-in box at designated Hang Seng Bank branches

投放於指定恒生銀行分行的強積金寄存辦理箱

Hang Seng MPF Employer Direct 恒生強積金僱主專線: 2288 6822

Hang Seng MPF Service Hotline 恒生強積金服務熱線: 2213 2213



HACI

**HANG SENG MANDATORY PROVIDENT FUND  
INDIVIDUAL TAX RESIDENCY SELF-CERTIFICATION FORM (CRS-I (HK)-MPF)  
恒生強積金：個人稅務居民自我證明表格 (CRS-I (HK)-MPF)**

**INSTRUCTIONS 指示**

**Please read the following instructions before completing this form 請在填寫本表格前細閱以下指示：**

**Why are we asking you to complete this form? 為何我們要求你填寫本表格？**

To help protect the integrity of tax systems, governments around the world are introducing a new information-gathering and reporting requirement for financial institutions. This is known as the Common Reporting Standard (the “CRS”). 為維護稅制完整，全球各地政府現正推出適用於金融／財務機構的資料收集及匯報新規則，名為共同匯報標準（簡稱「CRS」）。

Under the CRS, we are required to determine where you are a “tax resident” (this will usually be where you are liable to pay income taxes). If you are a tax resident outside the jurisdiction where your account is held, we may need to give the national tax authority this information, along with information relating to your accounts. That may then be shared between different jurisdictions’ tax authorities. 根據CRS規定，我們必須確定你的「稅務居住地」（這通常是你有義務繳納薪俸稅的國家／地區）。若你的稅務居住地有別於所持賬戶的司法管轄區，我們可能需要將此情況及你的有關賬戶資料告知國家／地區稅務機關，該等機關隨後或會將相關資料傳送給不同國家／地區的稅務機關。

Completing this form will ensure that we hold accurate and up to date information about your tax residency. 填妥本表格可確保我們持有你正確及最新的稅務居住地資料。

If your circumstances change and any of the information provided in this form becomes incorrect, please let us know immediately and provide an updated self-certification. 如你的情況有變，導致本表格內的任何資料不再正確，請立即告知我們，並提交一份已更新的自我證明表格。

**Who should complete the Individual Tax Residency Self-Certification Form? 誰需填寫個人稅務居民自我證明表格？**

Individual customers should complete this form. Sole trader customers should also complete this form with the owner’s information. 個人客戶須填寫本表格。獨資業務客戶亦須以擁有人的資料填寫本表格。

If you need to self-certify on behalf of an entity (which includes businesses, trusts and partnerships), complete an ‘Entity Tax Residency Self-Certification Form’ (CRS-E (HK)-MPF). Similarly, if you are a controlling person of an entity, complete a ‘Controlling Person Tax Residency Self-Certification Form’ (CRS-CP (HK)-MPF). 如你需代表實體（包括企業、信託和合夥）作自我證明，請填寫「實體稅務居民自我證明表格」(CRS-E (HK)-MPF)。同樣地，如你是實體的控權人，請填寫「控權人稅務居民自我證明表格」(CRS-CP (HK)-MPF)。

For joint account holders, each individual will need to complete a separate form. 每名聯名賬戶持有人須分別填寫一份表格。

Even if you have already provided information in relation to the United States Government’s Foreign Account Tax Compliance Act (FATCA), you may still need to provide additional information for the CRS as this is a separate regulation. 即使你已就美國政府《外國賬戶稅務合規法案》(簡稱「FATCA」)提供所需的資料，你仍可能需就CRS提供額外資料，因為兩者為獨立的規例。

If you are completing this form on behalf of someone else, please ensure that you let them know that you have done so and tell us in what capacity you are signing in Part 4. For example, you might be completing this form as a custodian or nominee of an account, under a Power of Attorney or as a legal guardian on behalf of an account holder who is a minor. 如你代表他人填寫本表格，請確保他們知悉此事，並在表格的第4部說明你以何種身分簽署本表格。例如：你可能是以賬戶的託管人或代名人身分、根據授權書以獲授權人身分或以未成年賬戶持有人的法定監護人身分填寫本表格。

**Where to go for further information? 如何獲取更多資訊？**

If you have any questions about this form or these instructions, please call our MPF hotline 2288 6822 (Employer) or 2213 2213 (Member). 如對本表格或上述指示有任何疑問，請致電我們的強積金熱線2288 6822（僱主）或2213 2213（成員）。

The Organisation for Economic Co-operation and Development (“OECD”) has developed the rules to be used by all governments participating in the CRS and these can be found on the OECD’s Automatic Exchange of Information (“AEOI”) website, [www.oecd.org/tax/automatic-exchange/](http://www.oecd.org/tax/automatic-exchange/). 經濟合作與發展組織（簡稱「經合組織」）已制訂規則，供參與CRS的所有政府使用，並載於經合組織的自動交換資料（簡稱「AEOI」）網站 [www.oecd.org/tax/automatic-exchange/](http://www.oecd.org/tax/automatic-exchange/)。

Please also visit the website of the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region that sets out information relating to the implementation of AEOI in Hong Kong: [www.ird.gov.hk/eng/tax/dta\\_aeoi.htm](http://www.ird.gov.hk/eng/tax/dta_aeoi.htm). Meaning of terms and expressions used in this form (e.g. “account holder” and “reportable account”) may be found under Section 50A of the Inland Revenue Ordinance (Cap. 112). 另請參閱香港特別行政區政府稅務局（簡稱「稅務局」）的網站了解香港實施AEOI的詳情：[www.ird.gov.hk/chi/tax/dta\\_aeoi.htm](http://www.ird.gov.hk/chi/tax/dta_aeoi.htm)。有關本表格內所用詞彙的涵義（例如：「賬戶持有人」和「須申報賬戶」），請參閱《稅務條例》（第112章）第50A條。

If you have any questions on how to define your tax residency status, please visit the OECD website, [www.oecd.org/tax/automatic-exchange/](http://www.oecd.org/tax/automatic-exchange/) or speak to your tax advisor as we are not allowed to give tax advice. 如你對判定你的稅務居民身分有任何疑問，請瀏覽經合組織網站 [www.oecd.org/tax/automatic-exchange/](http://www.oecd.org/tax/automatic-exchange/) 或諮詢你的稅務顧問。請恕我們不能提供稅務意見。

**Important Notes 重要提示：**

- This is a self-certification form provided by an account holder to a reporting financial institution for the purpose of automatic exchange of financial account information. The data collected may be transmitted by the reporting financial institution to the Inland Revenue Department for transfer to the tax authority of another jurisdiction. 這是由賬戶持有人向申報金融／財務機構提供的自我證明表格，以作自動交換財務賬戶資料用途。申報金融／財務機構可把收集所得的資料交給稅務局，稅務局會將資料轉交到另一稅務管轄區的稅務當局。
- An account holder should report all changes in his/her tax residency status to the reporting financial institution. 如賬戶持有人的稅務居民身分有所改變，應盡快將所有變更通知申報金融／財務機構。
- All parts of the form must be completed (unless not applicable or otherwise specified). If space provided is insufficient, continue on additional sheet(s). Information in fields/parts marked with an asterisk (\*) are required to be reported by the reporting financial institution to the Inland Revenue Department. 除不適用或特別註明外，必須填寫這份表格所有部分。如這份表格上的空位不夠應用，可另紙填寫。在欄／部標有星號(\*)的項目為申報金融／財務機構須向稅務局申報的資料。

**Part 1 第1部****Identification of Individual Account Holder 個人賬戶持有人的身分識辨資料**

(For joint or multiple account holders, complete a separate form for each individual account holder.)

(對於聯名賬戶或多人聯名賬戶，每名個人賬戶持有人須分別填寫一份表格。)

Note 注意： Please tick where applicable. 請在適當的地方加上剔號。

<b>Name of Account Holder</b> 賬戶持有人的姓名	Title 稱謂： <input type="checkbox"/> Mr 先生 <input type="checkbox"/> Ms 女士	
	*Last Name or Surname 姓氏	*First or Given Name 名字
	Business Name (Sole Traders only) 公司名稱(只適用於獨資業務)	
<b>Hong Kong Identity Card or Passport Number</b> 香港身分證或護照號碼	<input type="checkbox"/> HKID Card No. 香港身分證號碼 _____ ( ) <input type="checkbox"/> Passport No. 護照號碼 _____ (ONLY for person without HKID Card 本欄僅供沒有香港身分證的人士填寫)	
(Complete for Sole Trader Only 只供獨資業務填寫) <b>Business Registration Number</b> 商業登記號碼		
(Complete for Sole Trader Only 只供獨資業務填寫) <b>Employer ID</b> 僱主編號(if any如有)		
<b>Current Residence Address</b> 現時住址	(e.g. Suite, Floor, Building, Street, District 例如：室、樓層、大廈、街道、地區)	
	*City 城市	
	(e.g. Province, State 例如：省、州)	
	*Country/Region 國家／地區	
	Post Code/ZIP Code 郵政編碼／郵遞區號碼	

<b>Mailing Address</b> <b>通訊地址</b> (Complete if different to the above current residence address 如通訊地址與上述現時住址不同，填寫此欄)	(e.g. Suite, Floor, Building, Street, District 例如：室、樓層、大廈、街道、地區)										
	City 城市										
	(e.g. Province, State 例如：省、州)										
	Country/Region 國家／地區										
	Post Code/ZIP Code 郵政編碼／郵遞區號碼										
<b>*Date of Birth</b> <b>出生日期</b>	<table border="1"> <tr> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td> </tr> </table> (dd/mm/yyyy 日／月／年)										

**Part 2 第2部**

**\*Jurisdiction of Tax Residence and Taxpayer Identification Number or its Functional Equivalent ("TIN")**  
**稅務管轄區及稅務編號或具有等同功能的識辨編號(以下簡稱「稅務編號」)**

(1) My Tax Residence is Hong Kong SAR ONLY, with no tax residence in any other jurisdictions/countries/regions AND my HKID number is my TIN. 本人之稅務居住地只有香港特別行政區，及沒有處於任何其他司法管轄區／國家／地區的稅務居住地而本人的香港身分證號碼是本人的稅務編號。

- Yes 是 (you may skip (2). 你可略過第(2)部分。)
- No 否 (please complete (2). 請填寫第(2)部分。)

(2) Complete the following table indicating 提供以下資料，列明：

(a) **all jurisdictions** where the account holder is a **resident for tax purposes**; and 賬戶持有人作為**稅務居民的**所有司法管轄區；及

(b) the account holder's TIN for each jurisdiction indicated. 該稅務管轄區發給賬戶持有人的稅務編號。

If the account holder is a tax resident of Hong Kong SAR, the TIN is the Hong Kong Identity Card Number (HKID). 如賬戶持有人是香港特別行政區稅務居民，稅務編號是賬戶持有人的香港身分證號碼。

If a TIN is unavailable, provide the appropriate reason **A**, **B** or **C** 如沒有提供稅務編號，必須填寫合適的理由：

- # **Reason A** - The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.  
**理由A** - 賬戶持有人的稅務管轄區並沒有向其居民發出稅務編號。
- Reason B** - The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.  
**理由B** - 賬戶持有人不能取得稅務編號。如選取這一理由，解釋賬戶持有人不能取得稅務編號的原因。
- Reason C** - TIN is not required. Select this reason only if the authorities of the jurisdiction of tax residence do not require the TIN to be disclosed.  
**理由C** - 賬戶持有人毋須提供稅務編號。稅務管轄區的主管機關不需要賬戶持有人披露稅務編號。

Jurisdiction of Tax Residence 稅務管轄區	TIN 稅務編號	#Enter Reason A, B or C if no TIN is available 如沒有提供稅務編號，填寫理由A、B或C	Explain why the account holder is unable to obtain a TIN if you have selected Reason B 如選取理由B，解釋賬戶持有人不能取得稅務編號的原因
1			
2			
3			
4			
5			

### Part 3 Personal Information Collection Statement 第3部 收集個人資料聲明

- Personal data provided by Participating Employers and/or Members and details of transactions or dealings by such Participating Employers and/or Members from time to time may, to the extent not prohibited by applicable law, be used for one or more of the following purposes: - (i) the administration and/or management of or in connection with the contributions or accrued benefits or MPF account in respect of the Participating Employers and/or Members under the HSBC MPF scheme and Hang Seng MPF scheme administered by the HSBC Group; (ii) conducting direct marketing activities of MPF products and/or MPF services by entities of the HSBC Group as described in paragraph 5 below only if your consent is obtained (which includes an indication of no objection); (iii) improving and furthering the provision of MPF products and/or MPF services (including through customer research or surveys) by entities of the HSBC Group; (iv) matching for MPF related purpose with other personal data concerning the relevant Participating Employers and/or Members; (v) compliance or in accordance with an order of a court or compliance or in accordance with a law or a requirement made under a law (e.g. the Inland Revenue Ordinance and its provisions including those concerning automatic exchange of financial account information) or compliance or in accordance with any guidelines, guidance or requests given or issued by the Inland Revenue Department including those concerning automatic exchange of financial account information. 不時由參與僱主及／或成員所提供的個人資料及該等參與僱主及／或成員的交易或事務往來的詳情，限於不在適用法律禁止之列，將可被用於以下一項或多項用途：(i)由滙豐集團管理行政的滙豐強積金計劃及恒生強積金計劃下與參與僱主及／或成員的供款或累算權益或強積金戶口有關的行政事宜及／或管理；(ii)在獲得你的同意下(包括表示不反對)，進行以下第5段所述由滙豐集團成員所提供的強積金產品及／或強積金服務的直接有關促銷活動；(iii)改善及進一步提供由滙豐集團成員所提供的強積金產品及／或強積金服務(包括透過客戶研究或調查)；(iv)為任何強積金相關的用途而核對相關參與僱主及／或成員的其他個人資料；(v)遵守或按照法庭命令或遵守或按照法律或根據法律訂立的規定(例如《稅務條例》及其條文，包括關於自動交換財務賬戶資料的條文)或遵守或按照任何稅務局所提供或發出的指引、指導或要求，包括關於自動交換財務賬戶資料的指引、指導或要求。
- Failure to provide your information may result in us being unable to process your application or perform the services you request. 如你未能提供資料將可能導致我們未能處理你的申請或提供你所要求的服務。
- Personal data held by us relating to a Participating Employer and/or Member will be kept confidential but, to the extent not prohibited by applicable law, such information may be provided by us or any of our service providers to the following parties for the purposes set out in paragraph 1:- (i) any regulators or government authorities; (ii) any service provider, agent or contractor who provides administrative, telecommunications, computer, payment, data processing, matching, storage, customer research or survey or other services in connection with the operation of our MPF business; (iii) relevant Participating Employers; (iv) entities of the HSBC Group. Such information may be transferred to a place outside Hong Kong Special Administrative Region. 由我們持有參與僱主及／或成員的個人資料將予保密，但限於不在適用法律禁止之列，我們或任何我們的服務供應商可能會將該等資料提供給以下各方作第1段所述的用途：(i)任何監管機構或政府機關；(ii)任何提供與營運我們的強積金業務有關的行政、電訊、電腦、付賬、數據處理、核對、儲存、客戶研究或調查或其他服務的任何服務供應商、代理人或承包商；(iii)相關的參與僱主；(iv)滙豐集團成員。該等資料可能轉移至香港特別行政區以外的地方。
- You have the right to request access to and correction of your personal data held by us. Request should be addressed to: The Data Protection Officer, HSBC Provident Fund Trustee (Hong Kong) Limited, c/o The Hongkong and Shanghai Banking Corporation Limited, PO Box 73770, Kowloon Central Post Office. 你有權要求查閱及更改由我們持有你的個人資料。如有需要，可致函九龍中央郵政信箱73770號(c/o香港上海滙豐銀行有限公司)，向HSBC Provident Fund Trustee (Hong Kong) Limited資料保障主任提出要求。

5. To the extent not prohibited by applicable law, we, entities of the HSBC Group, intend to use your personal data in direct marketing of MPF products and/or MPF services, and we require your consent (which includes an indication of no objection) for that purpose. In this connection, please note that: 限於不在適用法律禁止之列，我們，滙豐集團成員，擬把你的個人資料用於強積金產品及／或強積金服務的直接促銷，而我們為該用途須獲得你的同意(包括表示不反對)。就此，請注意：

(i) your name, contact details, other products and services portfolio information, transaction pattern and behaviour, financial background and demographic data held by us from time to time may be used in direct marketing; and 我們可能把我們不時持有你的姓名、聯絡資料、其他產品及服務組合資料、交易模式及行為、財務背景及人口統計數據用於直接促銷；及

(ii) the MPF products and/or MPF services offered by entities of the HSBC Group may be marketed. 可用作促銷由滙豐集團成員所提供的強積金產品及／或強積金服務。

If you no longer want us to use your personal data in direct marketing activities as described in paragraph 5 above, you may exercise your opt-out right by notifying us. 如你不再希望你的個人資料被用於上述第5段所述的直接促銷活動，你可通知我們，行使你的選擇權拒絕促銷。

Please tick if you do not wish your personal data to be used for purpose of conducting direct marketing activities stated in paragraph 5 above. 如你不希望你的個人資料被用於上述第5段所列明的直接促銷活動，請在方格內填上剔號。

The above represents your present choice whether or not to receive direct marketing contact or information. This replaces any choice communicated by you to us prior to this application. Please note that you can change your marketing preference anytime, by calling us on (852) 2288 6822 (Employer) or (852) 2213 2213 (Member), to receive our best offers and promotions. 以上代表你目前就是否希望收到直接促銷聯繫或資訊的選擇，並取代你於本申請前向我們傳達的任何選擇。請注意：接收推廣資訊喜好設定可隨時作出變更，致電我們(852) 2288 6822(僱主)或(852) 2213 2213(成員)，從而接收我們的精彩優惠及推廣資訊。

#### Part 4 Declarations and Signature 第4部 聲明及簽署

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by HSBC Provident Fund Trustee (Hong Kong) Limited (the "Trustee") for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by the Trustee to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction(s) in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112). 本人知悉及同意，HSBC Provident Fund Trustee (Hong Kong) Limited (「信託人」)可根據《稅務條例》(第112章)有關交換財務賬戶資料的法律條文，(a)收集本表格所載資料並可備存作自動交換財務賬戶資料用途及(b)把該等資料和關於賬戶持有人及任何須申報賬戶的資料向香港特別行政區政府稅務局申報，從而把資料轉交到賬戶持有人的稅務管轄區的稅務當局。

I certify that I am the account holder (or I am authorised to sign for the account holder) of all the account(s) currently held with the Trustee by the individual identified in Part 1 of this form. 本人證明，就有關本表格第1部所述的個人於現信託人持有的所有賬戶，本人是賬戶持有人(或本人獲賬戶持有人授權代其簽署)。

I undertake to advise the Trustee of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide the Trustee with a suitably updated self-certification form within 30 days of such change in circumstances. 本人承諾，如情況有所改變，以致影響本表格第1部所述的個人的稅務居民身分，或引致本表格所載的資料不正確，本人會通知信託人，並會在情況發生改變後30日內，向信託人提交一份已適當更新的自我證明表格。

By signing the below, I confirm that the account holder to which this form relates has provided ALL the countries/ jurisdictions in which the account holder is tax resident due to the applicable tax residency regulations, local laws or treaties. 本人通過以下簽署確認，本人已經提供所有根據適用的稅務居民規則，本地法規或稅收協定，與本表格相關的賬戶持有人會被視為稅收居民的國家／地區。

**I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.** 本人聲明就本人所知所信，本表格內所填報的所有資料和聲明均屬真實、正確和完備。

Signature 簽署

**X**

Date (dd/mm/yyyy) 日期(日/月/年)

Name 姓名

Capacity 身分

(Indicate the capacity if you are not the individual identified in Part 1. If signing under a power of attorney, attach a certified copy of+ the power of attorney.) (如你不是第1部所述的個人，說明你的身分。如果你是以獲授權人身分簽署這份表格，須夾附該+授權書的核證副本。)

+ The power of attorney must be in a form satisfactory to the Trustee. 授權書必須採用信託人滿意的形式。

**WARNING: It is a serious offence under the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. Heavy penalty may apply upon conviction. 警告：根據《稅務條例》，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬嚴重罪行。一經定罪，可致重罰。**