

Securities Services Charges (Applicable to Personal Customers)

(A) Local Securities ⁽¹⁾ ⁽¹⁷⁾			
SEHK Listed Securities			
Items	Services Charges	Min	Max
Trade-Related ⁽²⁾			
Brokerage Fee for SEHK Listed Securities	Hang Seng Personal e-Banking / Hang Seng Personal Banking mobile app / Hang Seng Invest Express mobile app / Automated Securities Trading Hotlines: <ul style="list-style-type: none"> • 0.25% of transaction amount Manned Securities Trading Hotlines / Branches: <ul style="list-style-type: none"> • 0.35% for transaction amount below HKD200,000 • 0.30% for transaction amount equal to or above HKD200,000 	HKD100	---
Brokerage Fee for SEHK Listed Notes / Bonds	0.2% of transaction amount	HKD100	---
Transaction Levy (collected by SFC)	0.0027% of transaction amount	---	---
Trading Fee (collected by HKEX)	0.00565% of transaction amount (rounded to the nearest cent)	---	---
Stamp Duty ⁽⁷⁾ (collected by HKSAR Government)	0.1% of transaction amount (rounded up to the nearest dollar)	---	---
Transaction Levy (collected by AFRC)	0.00015% of transaction amount (rounded to the nearest cent)	---	---
Deposit Charge for Purchase Orders ⁽³⁾	Prestige Banking customers: HKD2.5/lot Other customers: HKD5/lot Charge will be waived if securities are sold before settlement	HKD30	HKD188
Italian Financial Transaction Tax ("FTT") ⁽⁴⁾ (collected by Italian tax authorities)	Based on daily net increase of customer's beneficial holding of the same share at account level on each settlement day X weighted average purchase price X 0.1%		
Securities Deposit and Withdrawal			
Physical Scrip Deposit			
• Deposit Fee	HKD30 per securities per transaction	---	---
• Transfer Deed Stamp Duty (collected by HKSAR Government)	HKD5 for each transfer deed	---	---
Physical Scrip Withdrawal			
• Withdrawal Fee	HKD7.5 per lot per securities	HKD50	---
Through Central Clearing and Settlement System (CCASS)			
• Deposit Fee	Free	---	---
• Withdrawal Fee	HKD7.5 per lot per securities	HKD50	---
Nominee Services & Corporate Actions			
Collection of Dividends / Interest ⁽³⁾ / Scrip Dividends / Cash Offer / Privatisation (Receipt of Cash) / Conversion of Warrants (Cash Settled)	0.5% on amount collected	HKD30	HKD2,000
Receipt of Bonus Issues / Shares Consolidation / Splitting / Right Issues / Open Offer / Conversion of Warrants / Privatisation (Receipt of Securities)	HKD50 per transaction	---	---
Maturity Redemption of SEHK Listed Notes/Bonds ⁽³⁾	0.2% of redemption amount	---	HKD300
Other Services			
Unclaimed Benefit Entitlement	HKD150 per transaction plus relevant CCASS charges plus		
	a) Dividend: 0.5% on amount collected	HKD30	HKD2,000
	b) Bonus Issue: HKD50 per distribution	---	---
Application of Newly-listed Securities / Notes	Subscribe under Hang Seng Nominee: HKD100 per application		
Certificate of Account Balance	Free		
Re-issuance of Transaction Advice	HKD100 per copy		

(A) Local Securities⁽¹⁾ (Cont'd)			
Items	SEHK Listed Securities		
	Services Charges	Min	Max
Other Services			
Photocopying of Statement (per cycle)	HKD50 per cycle		
Dual Tranche, Dual Counter Transfer (from HKD-Traded Shares / ETF to RMB-Traded Shares / ETF)	HKD30 per transaction for each securities / ETF	---	---
Transfer of Securities (involving change of beneficial ownership, both parties' accounts should be the securities account of the Bank)	a) Handling Fee (paid by transferor and transferee each): 0.125% of the value of securities transferred per transaction per securities	HKD100	---
	b) Stamp Duty ⁽⁷⁾ (collected by HKSAR Government) (paid by transferor and transferee each): 0.1% of the value of securities transferred (rounded up to the nearest dollar)	---	---
	c) Withdrawal Fee (paid by transferor): HKD7.5 per lot per securities	HKD50	---

(B) Hong Kong Listed Renminbi Denominated Securities / Bonds / ETF			
Items	SEHK Listed Securities		
	Services Charges	Min	Max
Trade-Related			
Brokerage Fee ⁽⁵⁾	Hang Seng Personal e-Banking / Hang Seng Personal Banking mobile app / Hang Seng Invest Express mobile app / Automated Securities Trading Hotlines: <ul style="list-style-type: none"> • 0.25% of transaction amount Manned Securities Trading Hotlines / Branches: <ul style="list-style-type: none"> • 0.35% for transaction amount below RMB200,000 • 0.30% for transaction amount equal to or above RMB200,000 	RMB85	---
Brokerage Fee for MOF Bond ⁽⁶⁾	0.2% of transaction amount	RMB85	---
Transaction Levy ⁽⁷⁾ (collected by SFC)	0.0027% of transaction amount	---	---
Trading Fee ⁽⁷⁾ (collected by HKEX)	0.00565% of transaction amount (rounded to the nearest cent)	---	---
Stamp Duty ⁽⁷⁾ (collected by HKSAR Government)	0.1% of transaction amount	---	---
Transaction Levy ⁽⁷⁾ (collected by AFRC)	0.00015% of transaction amount (rounded to the nearest cent)	---	---
Deposit Charge for Purchase Orders	RMB5 per lot per securities (not applicable to MOF Bond ⁽⁶⁾) Charge will be waived if securities are sold before settlement	RMB25	RMB160
Securities Deposit and Withdrawal			
Physical Scrip Deposit			
Deposit Fee	HKD30 per securities per transaction	---	---
Transfer Deed Stamp Duty (collected by HKSAR Government)	HKD5 for each transfer deed	---	---
Physical Scrip Withdrawal			
• Withdrawal Fee	HKD7.5 per lot per securities	HKD50	---
Through Central Clearing and Settlement System (CCASS)			
• Deposit Fee	Free	---	---
• Withdrawal Fee	HKD7.5 per lot per securities	HKD50	---

(B) Hong Kong Listed Renminbi Denominated Securities / Bonds / ETF (Cont'd)			
Items	Services Charges	Min	Max
Nominee Services & Corporate Actions			
Collection of Dividends / Interest / Scrip Dividends / Cash Offer / Privatisation (Receipt of Cash) / Conversion of Warrants (Cash Settled)	0.5% on amount collected (not applicable to MOF Bond ⁽⁶⁾)	RMB25	RMB1,700
Receipt of Bonus Issues / Shares Consolidation / Splitting / Right Issues / Open Offer / Conversion of Warrants / Privatisation (Receipt of Securities)	RMB40 per transaction	---	---
Maturity Redemption of Exchange Listed Notes and Bonds	0.2% of redemption amount (not applicable to MOF Bond ⁽⁶⁾)	---	RMB250
Other Services			
Unclaimed Benefit Entitlement	RMB130 per transaction plus relevant CCASS charges plus		
	a) Dividend: 0.5% on amount collected	RMB25	RMB1,700
	b) Bonus Issue: RMB40 per distribution	---	---
Application of Newly-listed Securities/Notes	<ul style="list-style-type: none"> Subscribe under Hang Seng Nominee: RMB85 per application Subscribe in client's own name with endorsement of the Bank: RMB45 per application 		
Transfer of Securities (involving change of beneficial ownership, both parties' accounts should be the securities account of the Bank)	a) Handling Fee (paid by transferor and transferee each): 0.125% of the value of securities transferred per transaction per securities	RMB85	---
	b) Stamp Duty ⁽⁷⁾ (collected by HKSAR Government) (paid by transferor and transferee each): 0.1% of the value of securities transferred	---	---
	c) Withdrawal Fee (paid by transferor): HKD7.5 per lot per securities	HKD50	---
MOF Bond ⁽⁶⁾ Transfer (Between Central Money Market Unit (CMU) and CCASS)	HKD100 per securities or bonds per transaction	---	---
Dual Tranche, Dual Counter Transfer (From RMB-Traded Shares / ETF to HKD-Traded Shares / ETF)	HKD30 per securities or ETF per transaction	---	---

(C) Stock Connect Northbound Securities ⁽¹¹⁾ ⁽¹⁷⁾			
Items	Services Charges	Min	Max
Brokerage Fee	Hang Seng Personal e-Banking / Hang Seng Personal Banking mobile app / Hang Seng Invest Express mobile app: <ul style="list-style-type: none"> 0.25% of transaction amount Manned Securities Trading Hotlines / Branches: <ul style="list-style-type: none"> 0.35% for transaction amount below RMB200,000 0.30% for transaction amount equal to or above RMB200,000 	RMB85	---
Deposit Charge for Purchase Orders	RMB25 per transaction	---	---
Handling Fee (collected by SSE / SZSE)	A Share: 0.00341% of transaction amount ETF: 0.004% of transaction amount	---	---
Securities Management Fee (collected by CSRC)	A Share: 0.002% of transaction amount ETF: Waived	---	---
Transfer Fee (collected by ChinaClear Shanghai / ChinaClear Shenzhen)	A Share: 0.001% of the value of securities transferred ETF: Waived	---	---
Transfer Fee (collected by HKSCC)	0.002% of the value of securities transferred	---	---

(C) Stock Connect Northbound Securities ⁽¹¹⁾ (Cont'd)			
Items	Services Charges	Min	Max
Stamp Duty (collected by the State Taxation Administration of the People's Republic of China (STA))	A Share: 0.05% of transaction amount (for sale transactions only) ETF: Waived	---	---
Dividend Withholding Tax (collected by STA)	Will be collected based on the tax rate determined by STA from time to time	---	---
Capital Gain Tax (collected by STA) (if applicable)	Applicable to share disposal and will be collected based on the tax rate determined by STA from time to time	---	---
Through Central Clearing and Settlement System (CCASS)	• Deposit Fee: Free	---	---
	• Withdrawal Fee: 0.008% of market value per securities	RMB40	---

(D) US Securities ⁽¹²⁾ ⁽¹⁷⁾			
Items	Services Charges	Min	Max
Trade-Related			
Brokerage Fee	Hang Seng Invest Express mobile app: USD0.015 per share	USD15	---
SEC Fee ⁽¹³⁾ (collected by US Government)	0.00278% of transaction amount	USD0.01	---
Securities Deposit and Withdrawal ⁽¹⁴⁾			
Deposit Fee	Free	---	---
Withdrawal Fee	USD50 per securities per transaction	---	---
Nominee Services & Corporate Actions			
Collection of Dividends / Interest / Scrip Dividends / Cash Offer / Privatisation (Receipt of Cash) / Conversion of Warrants	Free		
Receipt of Bonus Issues / Shares Consolidation / Splitting / Right Issues / Open Offer / Conversion of Warrants / Privatisation (Receipt of Securities)	Free		
Other Services			
Custody Fee	Please refer to Charges for Account Maintenance		
Market Information Services	Free		
French Financial Transaction Tax (FTT) - for purchase of eligible French companies listed in US as American Depository Receipt (ADR). Please refer to Euroclear France for latest eligible French company list. (Collected by French Tax Authority)	0.3% of the purchase amount		
Italian Financial Transaction Tax (IFTT) - for purchase of eligible Italian companies with market capitalization more than EUR500M and listed in US as ADR (collected for Italian Government)	0.10% on the net settlement amount (tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed in the same settlement day)		
Spanish Financial Transaction Tax (SFTT) – for purchase of eligible Spanish companies with market capitalization more than EUR1,000M and listed in US as ADR (collected for Spanish Government)	0.20% on the net settlement amount (tax deduction from the intraday netting permitted based on the weight average purchase price and the net purchase share quantity executed in the same settlement day)		
American Depository Receipt (ADR) Fee (collected by Depository Receipts Agent)	Customers holding ADRs may be charged ADR fee regularly (e.g. annually) by the Depository Receipts Agent through the Bank. Fees will be automatically deducted from your account. ADR fee is subject to the final confirmation from the Depository Receipts Agent.		

(E) Singapore Securities ⁽⁸⁾⁽⁹⁾ (Limit to Designated Securities ⁽¹⁰⁾)				
Items	Services Charges	Min	Max	
Brokerage Fee ⁽⁵⁾	0.5% of transaction amount	HKD250	---	
Handling Charge	0.25% of transaction amount	---	---	
Trading Fee (collected by SGX)	0.0075% of transaction amount	---	---	
Clearing Fee (collected by SGX)	0.0325% of transaction amount	---	---	
Deposit Charge for Purchase Orders (only applicable to Jardine Group Stocks)	First 100 lots: Prestige Banking customers: HKD5/lot Other customers: HKD10/lot	From 101st lot onwards: HKD3.5/lot	HKD30	HKD2,000
Withdrawal Fee	HKD7.5 per lot per securities	HKD50	---	
Collection of Dividends	1% of dividend amount per securities	HKD150	HKD2,500	
Transfer in / out of Settlement Fee	HKD400 per instruction	---	---	

(F) Hong Kong Listed Securities / ETF Settled in US Currency ⁽⁸⁾			
Items	Services Charges	Min	Max
Brokerage Fee ⁽⁵⁾	0.5% of transaction amount	USD20	---
Transaction Levy (collected by SFC)	0.0027% of transaction amount	---	---
Trading Fee (collected by HKEX)	0.00565% of transaction amount (rounded to the nearest cent)	---	---
Stamp Duty ⁽⁷⁾ (collected by HKSAR Government)	0.1% of transaction amount	---	---
Transaction Levy (collected by AFRC)	0.00015% of transaction amount (rounded to the nearest cent)	---	---
Deposit Charge for Purchase Orders	USD1 per lot	USD4	USD25

(G) Hong Kong Listed US Securities ⁽⁸⁾			
Items	Services Charges	Min	Max
Brokerage Fee ⁽⁵⁾	0.5% of transaction amount	HKD100	---
Transaction Levy (collected by SFC)	0.0027% of transaction amount	---	---
Trading Fee (collected by HKEX)	0.00565% of transaction amount (rounded to the nearest cent)	---	---
Stamp Duty ⁽⁷⁾ (collected by HKSAR Government)	0.1% of transaction amount (rounded up to the nearest dollar)	---	---
Transaction Levy (collected by AFRC)	0.00015% of transaction amount (rounded to the nearest cent)	---	---
Withdrawal Fee for Physical Scrips	HKD100 handling fee per request plus HKD600 DTC charges per request and out-of-pocket expenses	---	---
Cross Border Transfer Fee for Receipt/Delivery of Foreign Securities	HKD100 handling fee per request plus HKD200 DTC charges per request and out-of-pocket expenses	---	---
Warrant Conversion, Voluntary Take-over, Tender Offer & Open Offer	HKD100 handling fee per request plus HKD600 DTC charges per request and out-of-pocket expenses	---	---
Deposit Charge for Purchase Orders	HKD10 per lot	HKD30	HKD2,000
Collection of Dividends/Interest	0.75% on amount collected	HKD60	HKD2,500
DTC: US Depository Trust Company			

(H) Shenzhen and Shanghai B Shares ⁽¹⁵⁾			
Items	Services Charges	Min	Max
Shenzhen			
Handling Charge for Securities Transfer	HKD300 per request for each securities	---	---
Conversion Handling Fee for B shares to H shares (for suspended stocks)	HKD100 per request for each securities	---	---
Collection of Dividends (for suspended stocks)	0.5% of dividend amount per securities	HKD30	---
Shanghai			
Handling Charge for Securities Transfer	HKD700 per request for each securities	---	---
Conversion Handling Fee for B shares to H shares (for suspended stocks)	HKD100 per request for each securities	---	---
Collection of Dividends (for suspended stocks)	0.5% of dividend amount per securities	HKD160	---

(I) Overseas Securities ⁽¹⁶⁾ : UK / Japan			
Items	Services Charges	Min	Max
Collection of Dividends/Interest	0.75% of dividend amount per securities	HKD200	---
Transfer-out	HKD50 per securities per transaction plus out-of-pocket expenses	---	---

Notes:

- (1) For the purpose of this document, "Local Securities" refers to securities (mainly Hong Kong stocks) listed on The Stock Exchange of Hong Kong Limited (SEHK) and denominated in HKD, excluding securities listed in Hong Kong that are denominated in Renminbi, settled in US Currency or US Securities which are covered by sections (B), (F) and (G) respectively. All charges are calculated on each security each transaction basis, except for those items with other charge basis specified.
- (2) For details of services charges of the ETF settled in US currency - ABF PAN ASIA BOND INDEX FUND (Stock Code: 02821), please refer to section (F).
- (3) Not applicable to iBond / Green Bond.
- (4) Customers who buy shares issued by Italian resident companies which are listed on The Stock Exchange of Hong Kong Limited via the Bank are required to pay the Italian FTT.
- (5) Any preferential brokerage or brokerage exemption is not applicable.
- (6) Offshore RMB Bond Issued by The Ministry of Finance of the People's Republic of China.
- (7) Hong Kong listed bonds and ETF are currently exempted from Stamp Duty (collected by HKSAR Government). For securities exempted from Hong Kong Stamp Duty (collected by HKSAR Government), it is subject to change from time to time and please refer to the latest announcement from HKEX's website at www.hkex.com.hk for reference. Please note that the Bank will debit the transaction levy, trading fee and stamp duty for non-HKD denominated securities from customers in the non-HKD denomination based on the calculation provided in the above table and such fees and charges will be paid to the relevant authorities in HKD based on the exchange rate prescribed by the relevant authorities. If there are insufficient funds in your non-HKD settlement account (same as the currency of the securities) to settle the related fees and charges, the Bank shall have the right to collect such fees and charges in HKD from any of your HKD account. Hang Seng Bank Limited reserves the right to revise any charges, special offers and other services from time to time.
- (8) Except listed charges, all other charges are the same as section (A).
- (9) Transfer-in/purchase service of Singapore Securities will not be provided to customers who are the citizen of Singapore or have residential/correspondence address in Singapore.
- (10) Designated Securities: Jardine Group securities and Hutchison Port Holdings Trust. For trading of Hutchison Port Holdings Trust, we only accept sell order.
- (11) Except listed charges, all other charges are the same as section (B).
- (12) Except listed charges, customers will need to pay if there are any other out-of-pocket expenses.
- (13) SEC fee is applicable to sell transaction only and is subject to review by US Securities and Exchange Commission from time to time.
- (14) Not accept physical deposit or withdrawal of scrips.
- (15) With effective from 1 August 2016, the Bank no longer supports the China B-shares services (including trading and services in connection with corporate actions).

- (16) With effective from 1 April 2010, the Bank no longer supports the UK / Japan securities services (including trading and services in connection with corporate actions).
- (17) Effective from 1 October 2023, where the Customer is between the age of 18 and 30 (inclusive) and the Customer's name is the sole name on the Integrated Account, a monthly fee¹ of HK\$30 would be applied when a Customer trades Eligible Securities² via the Customer's Securities Account (excluding Share Margin Account) ("eligible Securities Account"). Whenever the Customer is aged between 18 and 30 (inclusive) on any day of a calendar month, the monthly fee¹ will continue to apply till the last trading day of that calendar month.

Monthly ³ Trading Amount (HK\$) ⁴	Monthly fee ¹ (HK\$30)	Other charges
Nil	Nil	Nil
HK\$300,000 or below	HK\$30	Nil
Exceeding HK\$300,000	HK\$30 for the initial trading amount of HK\$300,000 ⁴	Standard fees and charges* for any trading amount exceeding HK\$300,000 ⁴
<p>*Standard fees and charges apply to</p> <ul style="list-style-type: none"> a) trades made via manned or automated securities trading hotlines / branches, and b) purchase or subscription for IPO, Monthly Investment Plan and Share Margin Services, and c) any trade in excess of HK\$300,000⁴ (except that where the last trade causes the Monthly Trading Amount for the calendar month to exceed HK\$300,000⁴, such last trade will be included within the Monthly Fee (HK\$30)). <p>Please note that there may be third party transaction charges such as transaction levy, stamp duty and trading fee, handling fee, securities management fee, transfer fee and capital gain tax, etc. Terms and Conditions relating to the Integrated Account continue to apply and shall prevail in the case of conflict.</p>		

- ¹ (i) The Monthly fee covers \$0 brokerage fee⁴ for trades in Eligible Securities up to HK\$300,000⁴ and other bank charges (deposit charge and custody fee).
- (ii) Where the eligible Customer has more than one eligible Securities Account, the Monthly Trading Amount will be aggregated across all of the eligible Securities Accounts and the Monthly fee will be deducted one time each calendar month from the corresponding settlement account of the eligible Securities Account with the highest Monthly Trading Amount on the last business day of the calendar month (Mon to Sat excluding public holiday). The Monthly fee (HK\$30) will be stated as "Custody or Monthly fee" or "Bank Charge SEC" in the monthly Integrated Account and Securities Account statement.

² "Eligible Securities" means trades made via Hang Seng Personal e-Banking / Hang Seng Personal Banking mobile app / Hang Seng Invest Express mobile app for either

- (i) Local Securities (including ETFs, warrants and CBBCs while IPO and Monthly Investment Plan are excluded),
- (ii) Stock Connect Northbound Securities or
- (iii) US Securities.

³ Calculated monthly and reset on the first day of each calendar month.

⁴ Or the equivalent amount in USD or RMB calculated at the exchange rate determined by the Bank with reference to the prevailing exchange rate on the relevant trading day.

Charges for Account Maintenance		
Items	Services Charges	Remarks
Custody Fee	Prestige Banking customers: HKD15/month Other customers: HKD25/month	<ul style="list-style-type: none"> • Custody fee is charged on each securities account basis • No custody fee will be charged to account if there are no holdings and transaction during that month • Custody fee will be waived if the account only holds non-listed bonds / iBond / CD / other structured products / MOF Bond/ Silver Bond / Retail Green Bond

- Customers may be required to pay the costs, fees and charges incurred or charged by third parties in relation to the provision of securities trading, custodian and/or nominee services by the Bank.
- Such third party costs, fees and charges are subject to change without prior notice and will be determined by the Bank.
- All fees and charges determined by The Government of Hong Kong Special Administrative Region / HKEX / HKSCC / SFC / AFRC / SSE / SZSE / CSRC / ChinaClear Shanghai / ChinaClear Shenzhen / STA / SEC / SGX are subject to change without prior notice. Hang Seng Bank Limited reserves the right to revise any charges, special offers and other services from time to time.

May 2024